

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors believe they are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **DEVICES AND METHODS FOR MITRAL VALVE ANNULUS REFORMATION**, the specification of which:

☐ is attached herewith.

☒ was filed on April 8, 2005 as Application Serial No. 10/530,938.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

			<u>Priority Claimed</u>
<u>PCT/US2003/031992</u>	<u>PCT</u>	<u>October 8, 2003</u>	Yes
(Number)	(Country)	(Date Filed)	
<u>N/A</u>			Yes/No
(Number)	(Country)	(Date Filed)	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to the patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>N/A</u>		<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)
<u>N/A</u>		<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)

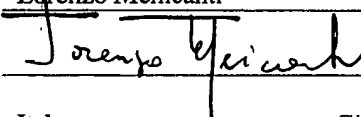
I hereby claim the benefit under title 35, United States code §119(e) of any United States provisional application(s) listed below:

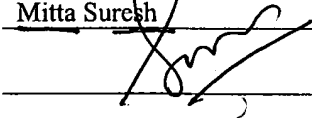
<u>60/416,482</u>	<u>October 8, 2002</u>	✓
(Application Serial No.)	(Filing Date)	
<u>60/421,712</u>	<u>October 28, 2002</u>	✓
(Application Serial No.)	(Filing Date)	

Please address all correspondence in connection with this application to:

Customer Number 35690  
Eric B. Meyertons  
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 853-8800

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

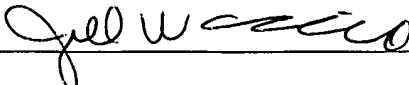
1-00 Inventor's Full Name: Lorenzo Menicanti  
Inventor's Signature:  Date: 1/31/06  
Country of Residence: Italy Citizenship: Italian ✓  
Residence Address: San Felice Torre 7, I-20090 Sergrate Milan, Italy ITX  
(Include number, street name, city, state/country and zip/city code)

02-00 Inventor's Full Name: Mitta Suresh  
Inventor's Signature:  Date: 1/31/06  
Country of Residence: United States ✓ Citizenship: Indian ✓  
Residence Address: 3201 Tam O Shanter Lane, Richardson, Texas 75080 TX  
(Include number, street name, city, state/country and zip/city code)

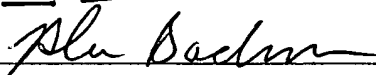
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over

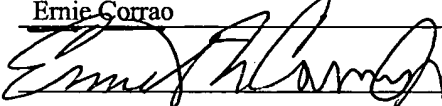
3-00

Inventor's Full Name: Jill Giannoble  
Inventor's Signature:  Date: 01/31/04  
Country of Residence: United States Citizenship: United States ✓  
Residence Address: 3340 Chantilly Drive, Plano, Texas 75025 TX  
(Include number, street name, city, state/country and zip/city code)

4-00

Inventor's Full Name: Alan Bachman  
Inventor's Signature:  Date: 2/1/06  
Country of Residence: United States Citizenship: United States ✓  
Residence Address: 111 Nicole Drive, Milford, Connecticut 06460 CT  
(Include number, street name, city, state/country and zip/city code)

5-00

Inventor's Full Name: Ernie Corrao  
Inventor's Signature:  Date: FEB 2, 2006  
Country of Residence: United States Citizenship: United States ✓  
Residence Address: 6 Canaan Drive, Bethel, Connecticut 06801 CT  
(Include number, street name, city, state/country and zip/city code)

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/530,938

Confirmation No.: 9177

Filed: April 8, 2005

Inventor(s):

Menicanti et al.

Title: DEVICES AND  
 METHODS FOR MITRAL  
 VALVE ANNULUS  
 REFORMATION

Examiner: Unknown  
 Art Unit: Unknown  
 Atty. Dkt. No: 5838-06602

**CERTIFICATE OF MAILING  
 UNDER 37 C.F.R. §1.8**

DATE OF DEPOSIT: February 13, 2006

I hereby certify that this correspondence is being deposited with  
 the United States Postal Service with sufficient postage as first  
 class mail on the date indicated above and is addressed to:

Commissioner for Patents  
 Alexandria, VA 22313-1450

*Jackie L. Pitt*  
 Jackie L. Pitt

**ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints: (1) the practitioners at Customer Number 55690 and (2)

Mark K. Brightwell	Reg. No. 47,446
Kay A. Colapret	Reg. No. 52,759
Steve J. Curran	Reg. No. 50,664
Mark R. DeLuca	Reg. No. 44,649
Russell Henrichs	Reg. No. 50,354
Erik A. Heter	Reg. No. 50,652
Jeffrey C. Hood	Reg. No. 35,198
Rajiv Jauhari	Reg. No. 55,850
B. Noël Kivlin	Reg. No. 33,929
Robert C. Kowert	Reg. No. 39,255
Mario J. Lewin	Reg. No. 54,268
Lawrence J. Merkel	Reg. No. 41,191
Eric B. Meyertons	Reg. No. 34,876
Neal E. Persky	Reg. No. 53,452
Liza Philip	Reg. No. 51,352
David W. Quimby	Reg. No. 39,338
Rory D. Rankin	Reg. No. 47,884

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Menicanti et al.  
10/530,938

Gareth Sampson  
Chris Thompson  
Mark S. Williams

Reg. No. 52,191  
Reg. No. 43,188  
Reg. No. 50,658

each an attorney or agent of the firm of MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to Chase Medical, L.P., referenced below, and certify that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Customer Number 35690  
Eric B. Meyertons, Esq.  
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.  
P.O. BOX 398  
AUSTIN, TEXAS 78767-0398  
(512) 853-8800 (voice)  
(512) 853-8801 (facsimile)

ASSIGNEE:

CHASE MEDICAL, L.P.

By: SURESH REDDY

Title: VICE-PRESIDENT OF R&D

Date: 2/4/2006

ASSIGNMENT: x Enclosed for recording

Rec'd PCT/PTO 08 APR 2006

# PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/530,938

Confirmation No.: 9177

Filed: April 8, 2005

**Inventor(s):**

Menicanti et al.

Title: DEVICES AND METHODS FOR MITRAL VALVE ANNULUS REFORMATION

Examiner: Unknown  
Art Unit: Unknown  
Atty. Dkt. No: 5838-06602

**CERTIFICATE OF MAILING**  
**UNDER 37 C.F.R. §1.8**

DATE OF DEPOSIT: February 13, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents  
Alexandria, VA 22313-1450

Jackie L. Pitre

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Chase Medical, L.P.  
ADDRESS OF CONCERN: 1876 Firman Drive  
Richardson, Texas 75081

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 for purposes of paying reduced fees under Section 41(h) of Title 35 United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

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I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled "**DEVICES AND METHODS FOR MITRAL VALVE ANNULUS REFORMATION**" by inventor(s) described in

- ☐ the specification filed herewith.  
☒ application serial no.: 10/530,938, filed April 8, 2005.  
☐ patent no.: \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.27(a)(2) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

FULL NAME:

ADDRESS:

☐ Individual      ☐ Small Business Concern      ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: SURESH REDDY

TITLE OF PERSON OTHER THAN OWNER: VICE-PRESIDENT OF R&D

SIGNATURE:  DATE: 2/4/06